

Majorana Fermions in Topological Insulators

I. Introduction

- Topological band theory and topological insulators

II. Two Dimensions : Quantum Spin Hall Effect

- Time reversal symmetry and edge states
- **Experiment:** Transport in HgTe quantum Wells

III. Three Dimensions : Topological Insulators

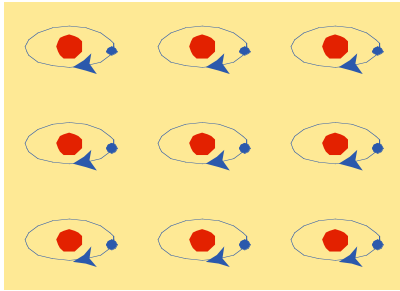
- Topological Insulator Surface States
- **Experiment:** Photoemission on $\text{Bi}_x\text{Sb}_{1-x}$

IV. Superconducting proximity effect and Majorana fermions

- Majorana fermion bound states:
 - A platform for topological quantum computing?
- Fractional Josephson effect in a S-QSHI-S junction

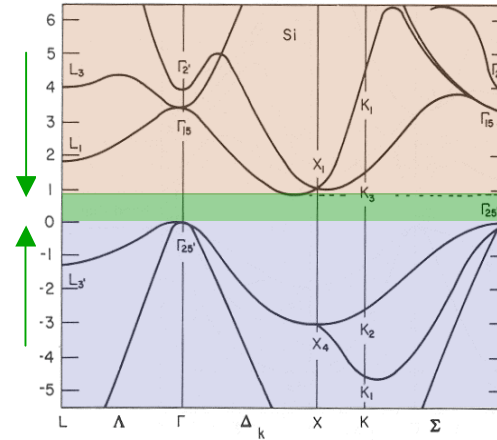
Thanks to Gene Mele, [Liang Fu](#), Jeffrey Teo

The Insulating State

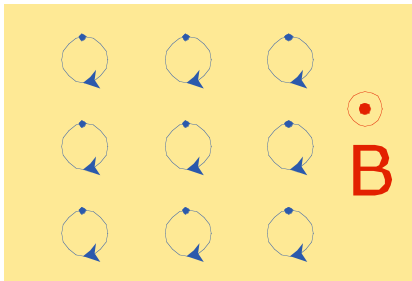


e.g. Silicon

$$E_g \sim 1 \text{ eV}$$

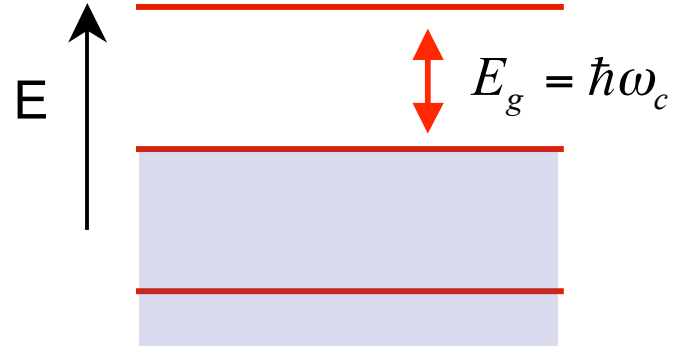


The Integer Quantum Hall State



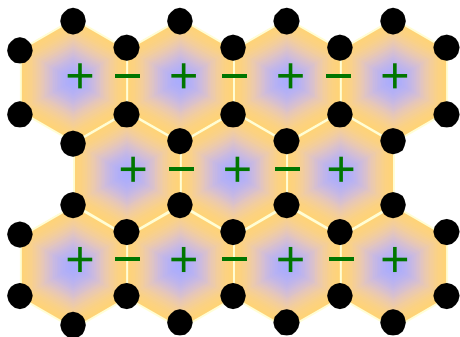
2D Cyclotron Motion,
Landau Levels

$$\sigma_{xy} = e^2/h$$



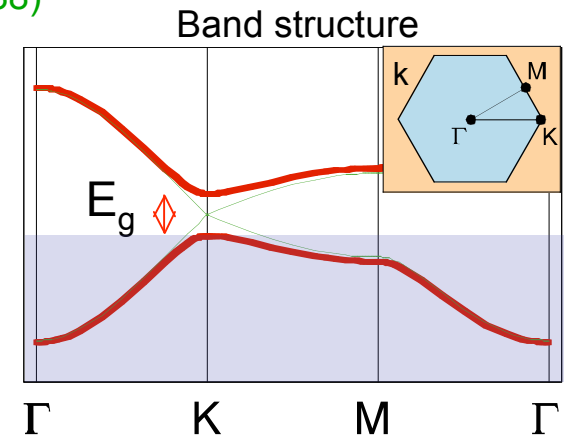
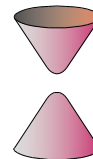
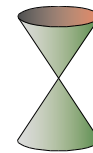
IQHE with zero net magnetic field

Graphene with a periodic magnetic field $B(r)$ (Haldane PRL 1988)



$B(r) = 0$
Zero gap,
Dirac point

$B(r) \neq 0$
Energy gap
 $\sigma_{xy} = e^2/h$



Topological Band Theory

The distinction between a conventional insulator and the quantum Hall state is a topological property of the manifold of occupied states

$|\Psi(\vec{k})\rangle$: Brillouin zone (T^2) \mapsto Hilbert space

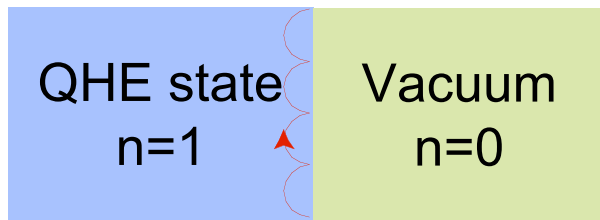
Classified by the TKNN (or Chern) topological invariant (Thouless et al, 1984)

$$n = \frac{1}{2\pi i} \int_{BZ} d^2\mathbf{k} \cdot \langle \nabla_{\mathbf{k}} u(\mathbf{k}) | \times | \nabla_{\mathbf{k}} u(\mathbf{k}) \rangle$$

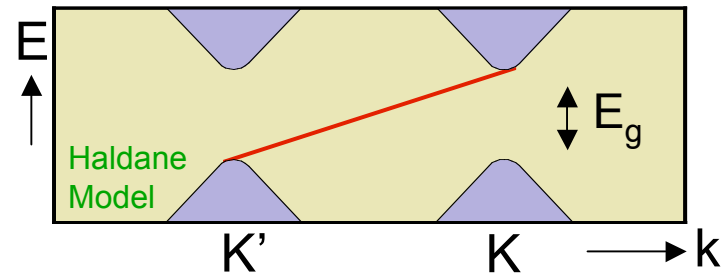
Insulator : $n = 0$
 IQHE state : $\sigma_{xy} = n e^2/h$

The TKNN invariant can only change when the energy gap goes to zero

Edge States at a domain wall



Gapless Chiral Fermions

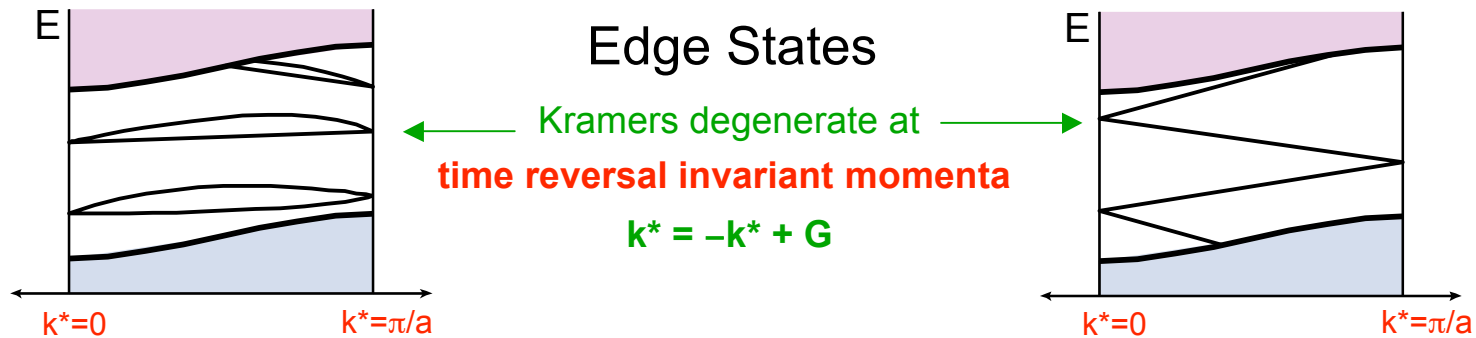


Topological Insulator : A New B=0 Phase

2D Time reversal invariant band structures have a Z_2 topological invariant, $\nu = 0, 1$

$\nu=0$: Conventional Insulator

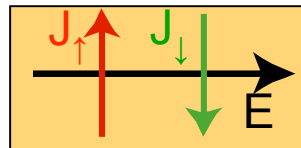
$\nu=1$: Topological Insulator



ν is a property of bulk bandstructure. Easiest to compute if there is extra symmetry:

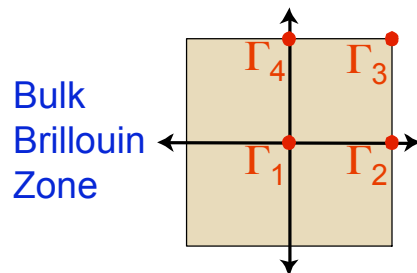
1. S_z conserved : independent spin Chern integers : $n_{\uparrow} = -n_{\downarrow}$ (due to time reversal)

Quantum spin Hall Effect :



$$\nu = n_{\uparrow, \downarrow} \bmod 2$$

2. Inversion (P) Symmetry : determined by Parity of occupied 2D Bloch states at $\Gamma_{1,2,3,4}$



$$P|\psi_n(\Gamma_i)\rangle = \xi_n(\Gamma_i)|\psi_n(\Gamma_i)\rangle$$

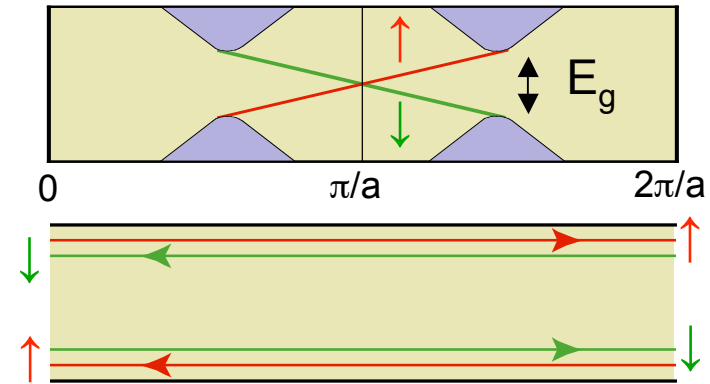
$$\xi_n(\Gamma_i) = \pm 1$$

$$(-1)^\nu = \prod_{i=1}^4 \prod_n \xi_{2n}(\Gamma_i)$$

Two dimensions : Quantum Spin Hall Insulator

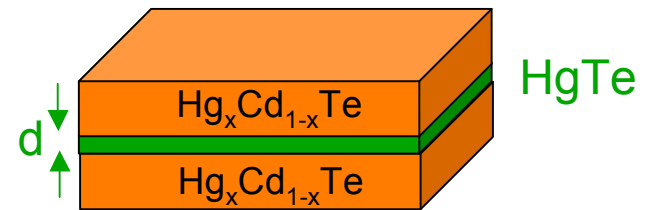
I. Graphene Kane, Mele PRL '05

- Intrinsic spin orbit interaction
 \Rightarrow small ($\sim 10\text{mK}-1\text{K}$) band gap
- S_z conserved : “| Haldane model |²”
- Edge states : $G = 2 e^2/h$



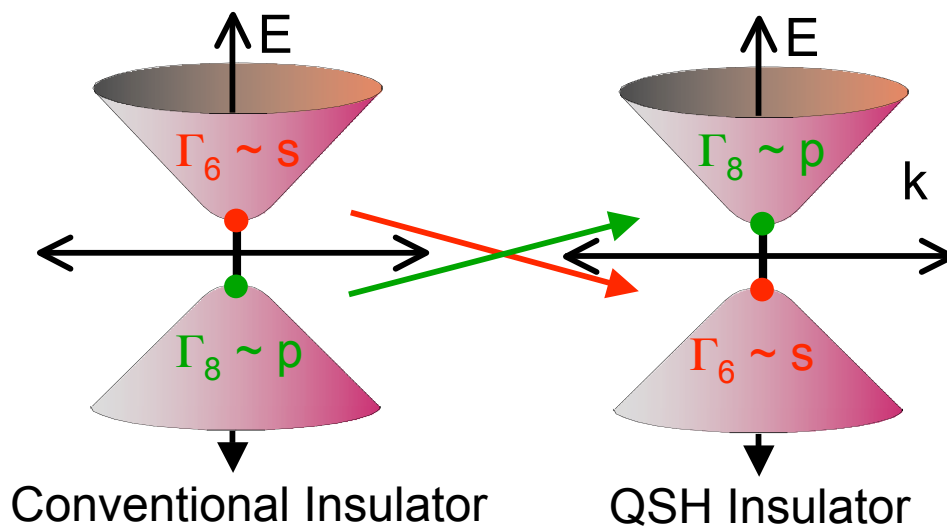
II. HgCdTe quantum wells

Theory: Bernevig, Hughes and Zhang, Science '06
 Experiment: Konig et al. Science '07

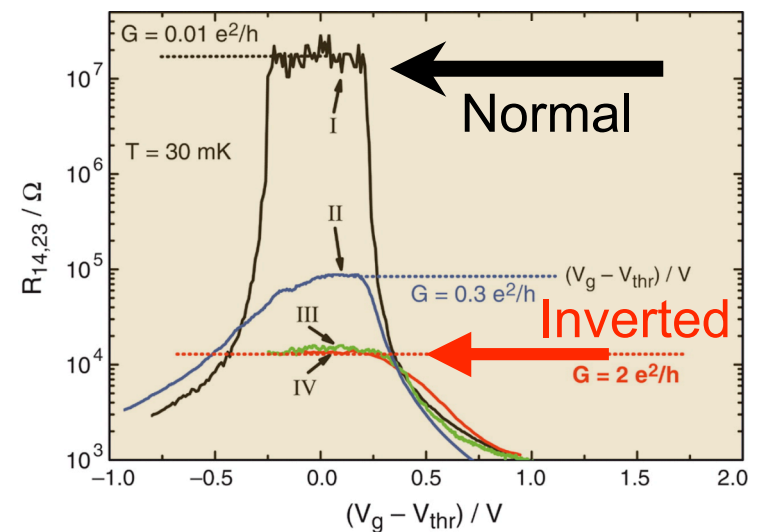


$d < 6.3 \text{ nm}$
 Normal band order

$d > 6.3 \text{ nm}$:
 Inverted band order



$G \sim 2e^2/h$ in QSHI

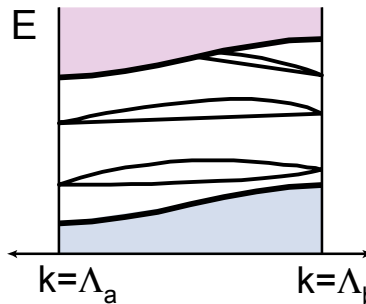
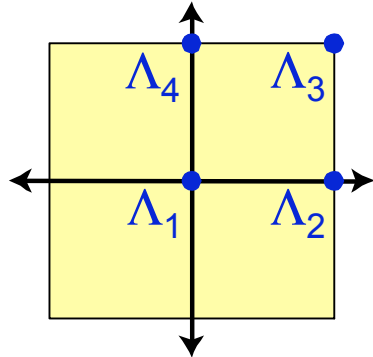


Three Dimensional Topological Insulators

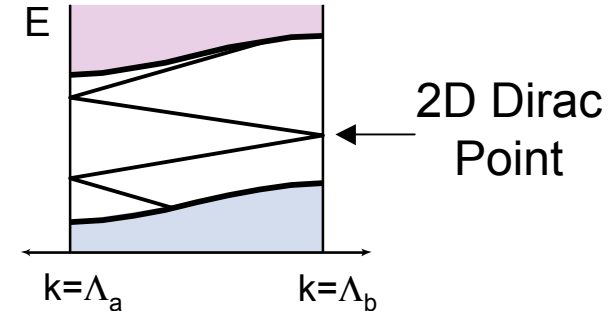
In 3D there are 4 Z_2 invariants: $(\nu_0; \nu_1\nu_2\nu_3)$ characterizing the bulk. These determine how surface states connect.

Fu, Kane & Mele PRL 07
 Moore & Balents PRB 07
 Roy, cond-mat 06

Surface Brillouin Zone



OR



$\nu_0 = 1$: Strong Topological Insulator

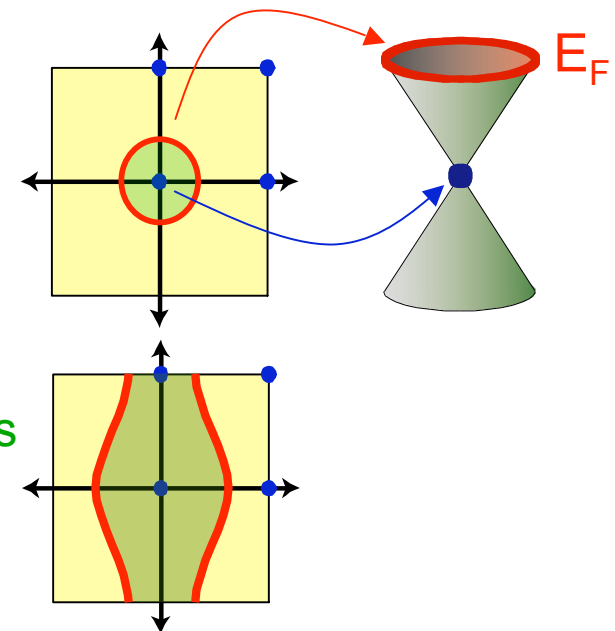
Fermi surface encloses **odd** number of Dirac points
Topological Metal

- Berry's phase π around Fermi surface
- Robust to disorder (antilocalization)

$\nu_0 = 0$: Weak Topological Insulator

Fermi surface encloses **even** number of Dirac points
Normal Metal

- Berry's phase 0, less robust.
- Equivalent to layered 2D QSHI

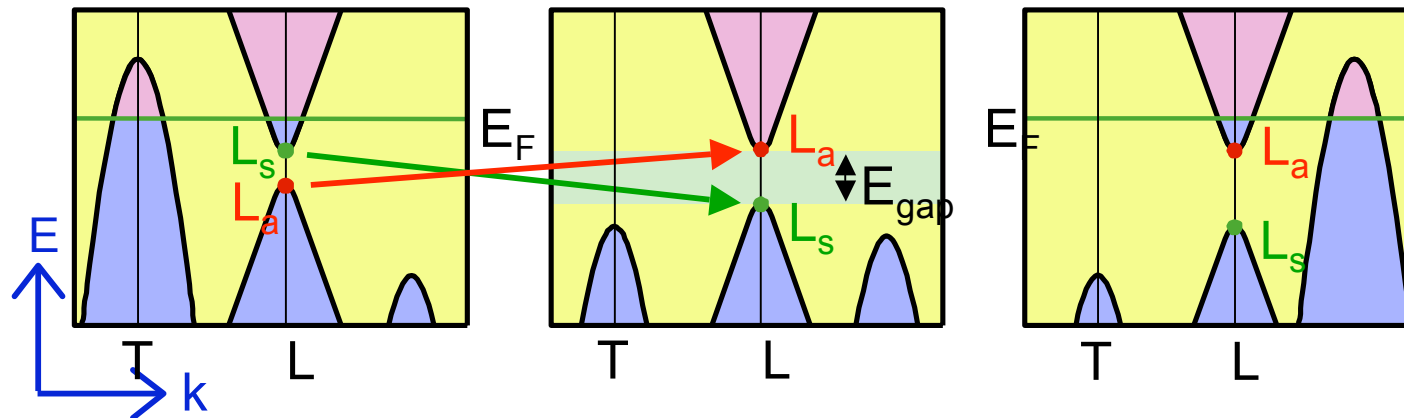


Bi_{1-x}Sb_x

Pure Bismuth
semimetal

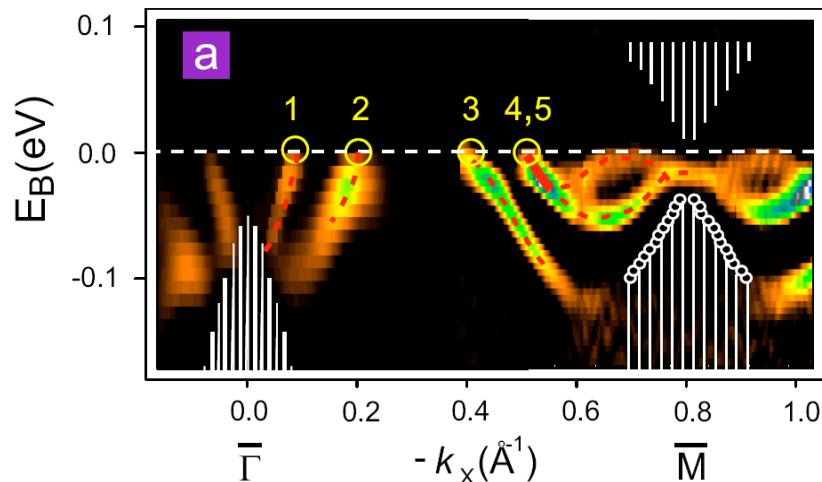
Alloy : $.09 < x < .18$
semiconductor $E_{\text{gap}} \sim 30 \text{ meV}$

Pure Antimony
semimetal



Theory: Predict Bi_{1-x}Sb_x is a topological insulator by exploiting inversion symmetry of Bi, Sb (Fu, Kane PRL'07)

Experiment: ARPES (Hsieh et al. Nature '08)

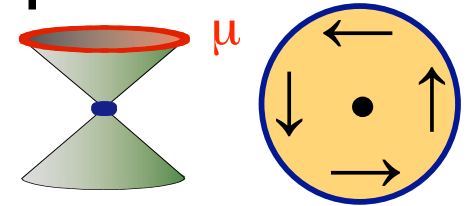


- 5 surface state bands cross E_F between Γ and M
- Proves that Bi_{1-x}Sb_x is a Strong Topological Insulator

Proximity Effects, Energy Gaps

Minimal surface state model:

$$H_0 = \psi^\dagger (-iv\vec{\sigma} \cdot \vec{\nabla} - \mu)\psi$$



“half” a 2DEG

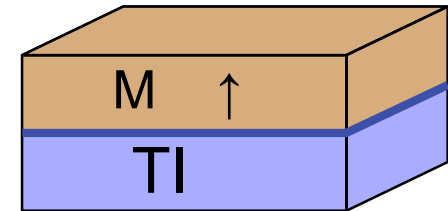
Two Gapped Phases :

Magnetic $V_M = M\psi^\dagger \sigma_z \psi$

Gap for $M > M_c(\mu)$

Broken time reversal symmetry

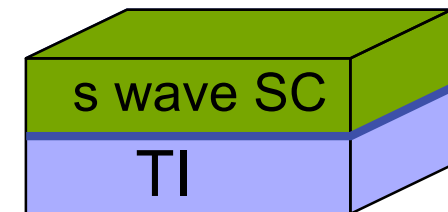
“half quantized” QHE $\sigma_{xy} = e^2/2h$



Superconducting $V_S = \Delta\psi_\uparrow^\dagger\psi_\downarrow^\dagger + \Delta^*\psi_\downarrow\psi_\uparrow$

Similar to spinless $p_x + ip_y$ SC

No broken time reversal



Bogoliubov Spectrum ($\mu=0$) $E_\pm(k) = \sqrt{(|\Delta| \pm |M|)^2 + v^2k^2}$

$|\Delta| > |M|$: Superconducting phase

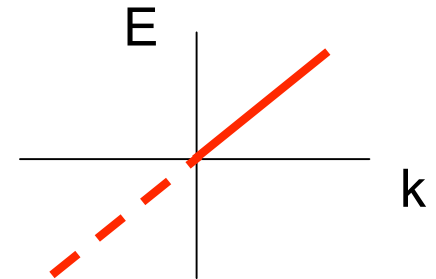
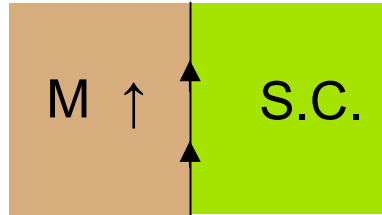
$|\Delta| < |M|$: Insulating phase

$|\Delta| = |M|$: Critical : 2D gapless Majorana

Majorana Fermions

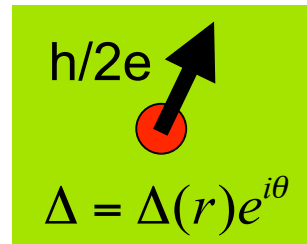
S-TI-M interface

Gapless 1D chiral
Majorana fermions
bound to domain wall



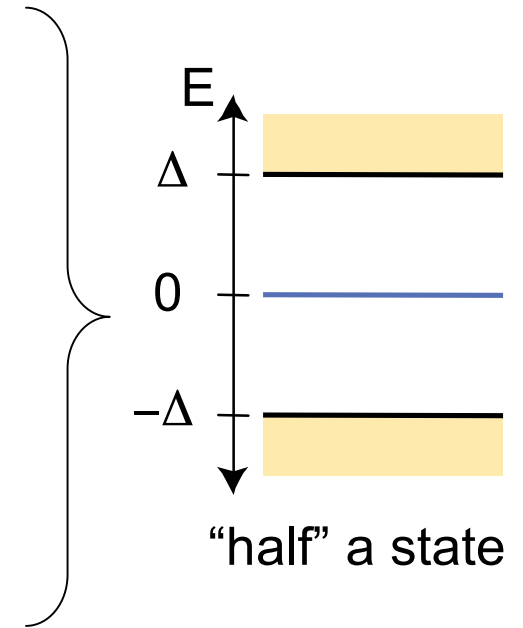
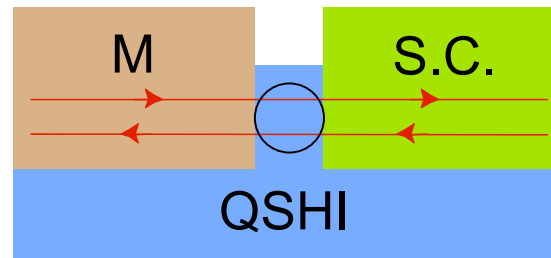
Vortex in 2D SC :

Zero energy
Majorana bound state
at vortex



S-QSHI-M junction

Zero energy
Majorana bound state
at junction

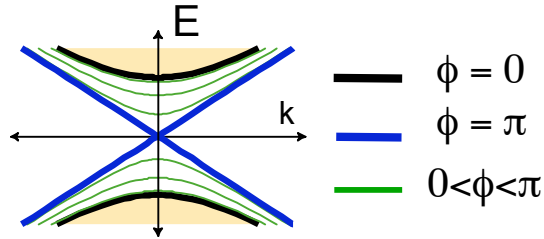
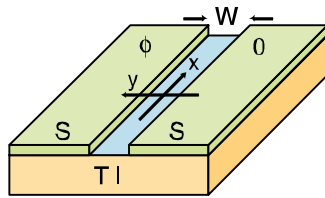


Kitaev 2003 : $2N$ Majoranas = N qubits: fault tolerant quantum memory

Braiding : Quantum computation

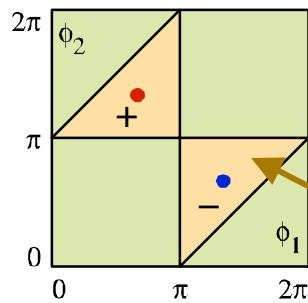
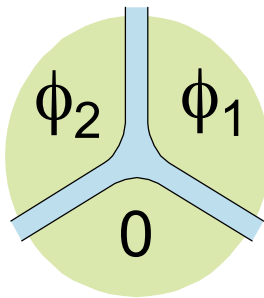
Manipulation of Majorana Fermions

S-TI-S Line Junction : A 1D “wire” for Majorana fermions



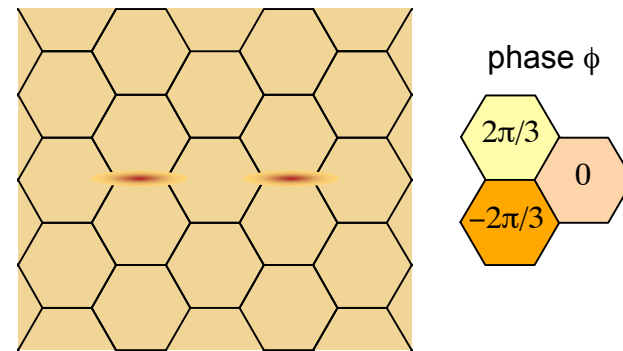
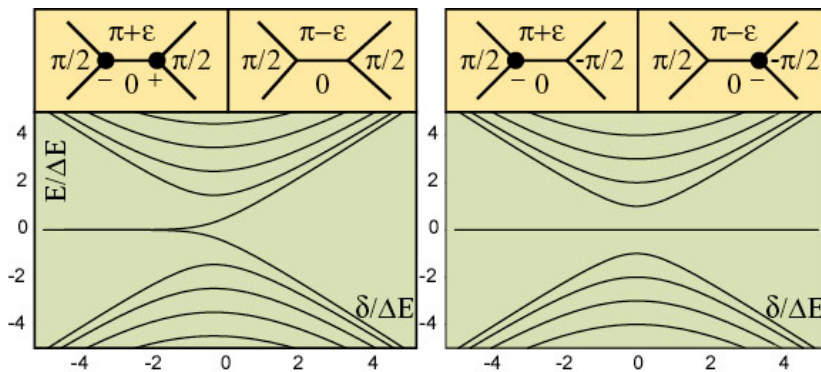
Gapless 1D Majorana Fermions for $\phi = \pi$

S-TI-S Tri-Junction :



Majorana bound state present at tri-junction

Create, Transport and Fuse Majorana fermions along line junctions



$$(|0\rangle \otimes |0\rangle + |1\rangle \otimes |1\rangle) / \sqrt{2}$$

The challenges :

- Find suitable topological insulator ($\text{Bi}_{1-x}\text{Sb}_x$? $E_g \sim 30$ meV)
- Find suitable superconductor which makes good interface (Nb ?)
- Optimize proximity induced gap and discrete Andreev bound states
- Control the superconducting phases with Josephson junctions
- Measure current difference when Majoranas are fused

Anomalous Proximity Effect in the Nb-BiSb-Nb Junctions

A. Yu. Kasumov, O. V. Kononenko, V. N. Matveev, T. B. Borsenko, V. A. Tulin, E. E. Vdovin, and I. I. Khodos
Institute of Microelectronics Technology and High Purity Materials, RAS, 142432 Chernogolovka, Moscow Region, Russia
(Received 20 November 1995)

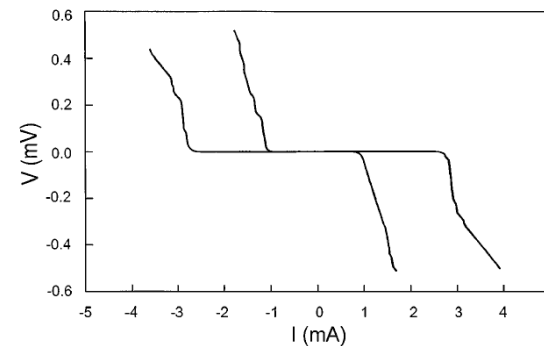
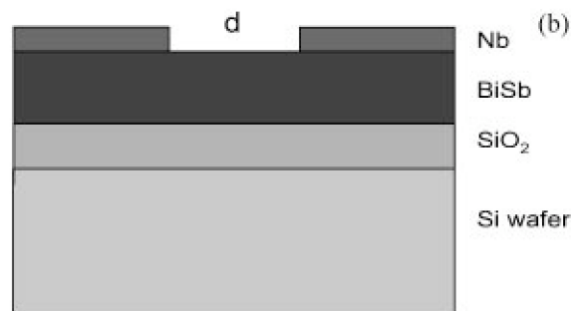
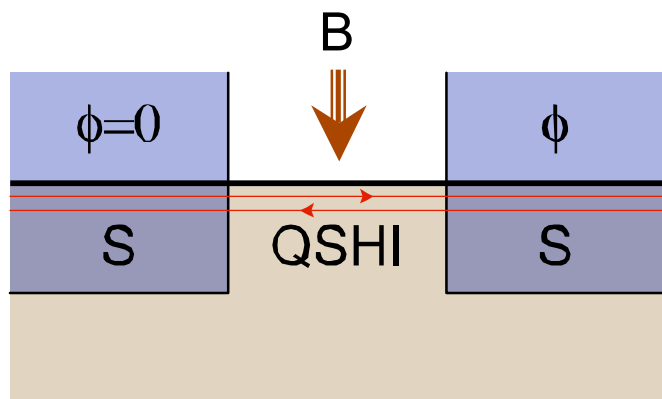


FIG. 2. I - V characteristics for the junction at 4.2 K. $I_c = 1$ mA for $0.6 \mu\text{m}$ slit junction; $I_c = 2.5$ mA for $1.2 \mu\text{m}$ slit junction.

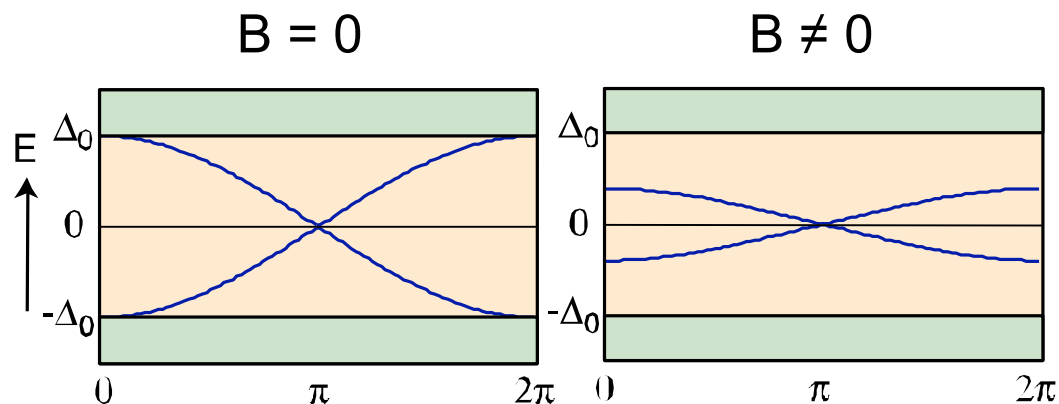
Evidence for good contact between BiSb and Nb : minimal Shottky barrier

S-QSHI-S Josephson Junction

Fu, Kane cond-mat/08



Andreev bound states in the junction



$B = 0$: “Half” a perfectly transmitting superconducting quantum point contact

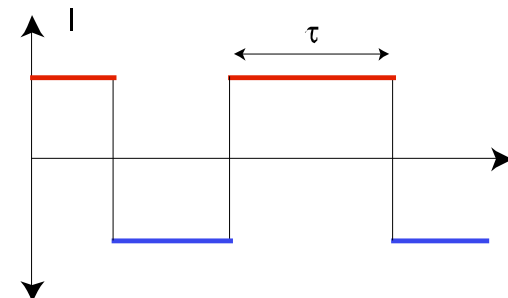
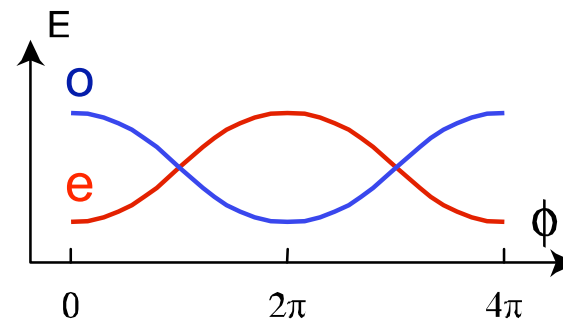
$B \neq 0$: “Fractional Josephson Effect”

(Kitaev 2001; Kwon, Sengupta, Yakovenko, 2004)

- 4π periodicity of $E(\phi)$ protected by local conservation of fermion parity.
- Two coupled Majoranas

“Telegraph noise” at $\phi \sim \pi$

- Switch fermion parity by inelastic scattering of thermally activated quasiparticles
- $\tau \sim \exp(\Delta_0/T)$
- Noise $S(\omega \rightarrow 0) \sim \exp(\Delta_0/T)$

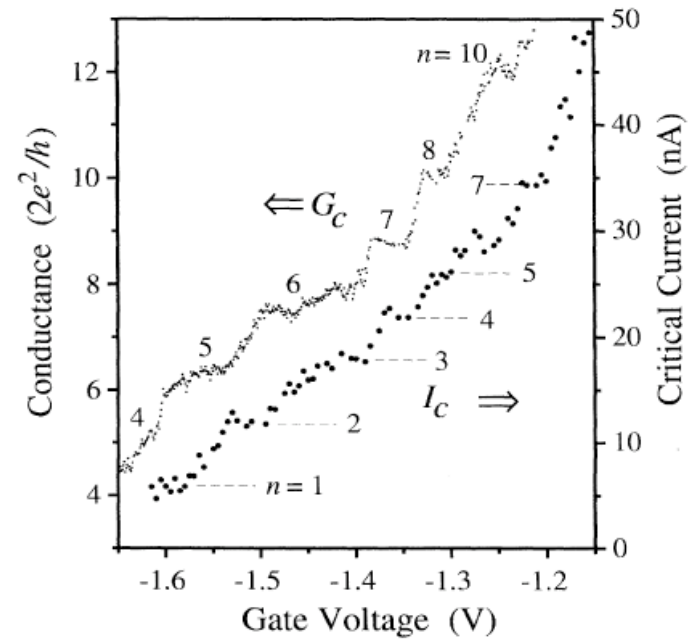
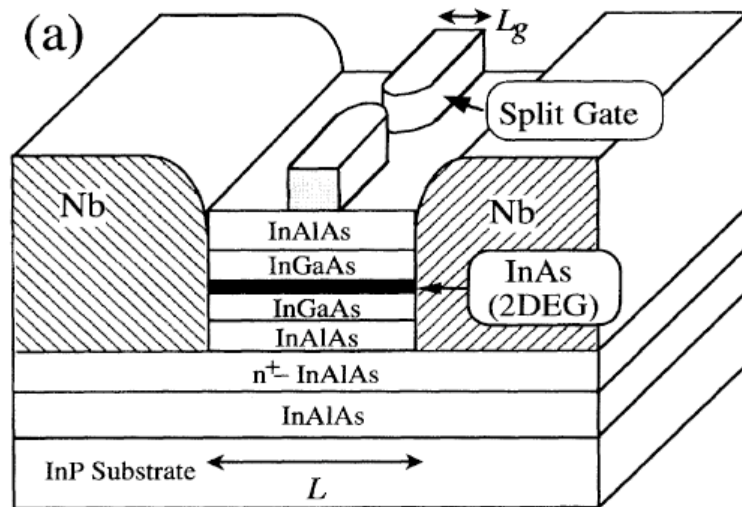


Observation of Maximum Supercurrent Quantization in a Superconducting Quantum Point Contact

Hideaki Takayanagi, Tatsushi Akazaki, and Junsaku Nitta

NTT Basic Research Laboratories, 3-1 Morinosato-Wakamiya, Atsugi-Shi, Kanagawa 243-01, Japan

(Received 2 June 1995)



Conclusion

- A new electronic phase of matter has been predicted and observed
 - 2D : Quantum spin Hall insulator in HgCdTe QW's
 - 3D : Strong topological insulator in $\text{Bi}_{1-x}\text{Sb}_x$
- Experimental Challenges
 - Spin dependent Transport Measurements
 - Transport and magneto-transport measurements on $\text{Bi}_{1-x}\text{Sb}_x$
 - Superconducting proximity effect :
 - BiSb-Nb ? HgCdTe-Nb ??
 - Characterize S-TI-S junctions
 - Create the Majorana bound states
 - Detect the Majorana bound states
- Theoretical Challenges
 - Effects of disorder, interactions on surface states, superconductivity and critical phenomena
 - Other Materials?